

# Center for Governance and Transparency



**2020 - 2021**



**TOTAL**

**Deloitte.**

HSBC



**Tenaris**

*Johnson & Johnson*

MEDICAL DEVICES COMPANIES

**SIEMENS**



# Who we are



**Matthias Kleinhempel**



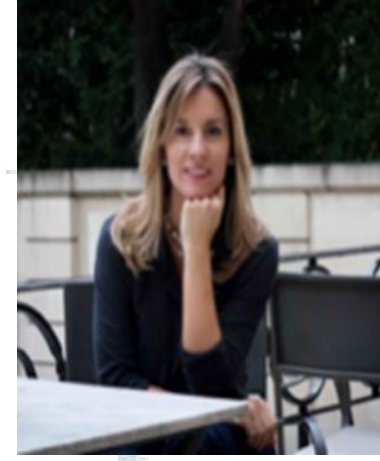
**Lorenzo Preve**



**Raúl Sacconi**



**Zenón Biagosch**



**Cecilia Colli**



**Nohexis García**



# Mission

1. Foster Governance and Compliance through **Research, Teaching and Support for Companies**
2. **Be a point of reference** for Governance and Compliance topics in Latin America, with special focus on Argentina



# Action Lines

## Research

- Governance and Compliance practices and trends in Argentina / LatAm

## Teaching

- Open programs at IAE Business School
- In-Company Programs
- Joint international programs
- Universities/Business Schools abroad

## Support for Companies

- Networking platform for CEOs, CFOs, COs, and CROs
- Collective Actions/Integrity Pacts
- Design and implementation of Governance and Compliance Systems
- Gap analysis for Compliance Programs
- In-house Compliance training and education



# Activities - 2020

- **3 online workshops** (The Monitor's View, The Dark Side of Compliance, Internal Investigations)
- **Annual Online Conference** October 22nd and 23rd "Compliance and Human Risk"
- **Regionalization** of activities and programs in LatAm
- 8th and 9th edition: **Certification Program** with ECI "Compliance for Specialists"
- 4th edition: Diploma **AML & Financial Crime**
- 4<sup>th</sup> edition: Diploma in **Compliance and Criminal Law** with Austral University Law School
- 8<sup>th</sup> edition: Joint program: "**Compliance for Senior Management**" with IESE Business School
- 2nd edition: Program "**Internal Investigations**: "How and Why"
- Biweekly **newsletter** and 25 short publications
- **Book**: The 8 Pillars of Compliance
- Peer reviewed **chapter** for UN PRME book
- Classes at Universities abroad (IESE, Barna, Istmos)
- Presentations at local and international **conferences**
- **2 Surveys** on Compliance in Argentina
- Chair of the UN PRME Anticorruption WG



# Planned Activities - 2021

- 3 webinars/workshops
- Annual conference
- Call For Papers
- Article / TN on new trends in risk and compliance
- Joint program with IESE "Compliance for Senior Management" (9<sup>th</sup> edition)
- Certification Program with ECI for Compliance Officers (10<sup>th</sup> and 11<sup>th</sup> edition)
- Diploma AML and Financial Crime (5<sup>th</sup> edition)
- Diploma in Compliance: Joint program with Austral University's Law School (5<sup>th</sup> edition)
- Program "Internal Investigations: How and Why" (3<sup>rd</sup> edition)
- Biweekly newsletter and 25 short publications
- Classes at Universities abroad (IESE, WU Vienna)
- Presentations at local and international conferences
- Annual survey among Compliance Officers
- Chair of the UN PRME Anticorruption WG



# Center for Governance and Transparency in Numbers

- Compliance and Best Practices Network: **3000 + members** from more than **700 companies**
- **3100 + newsletter subscribers** (Compliance Officers, CEOs, CFOs, Board members, etc.); 240 + editions
- **4900 +** people attended **36 workshops** and **10 annual conferences**
- **180 + practitioners**, academics and experts have joined our activities as presenters, panelists, and keynote speakers
- **16 + focused open enrolment programs** and sessions on compliance at Business Schools abroad (Miami, New York, Madrid, Berlin, Quito, etc.)





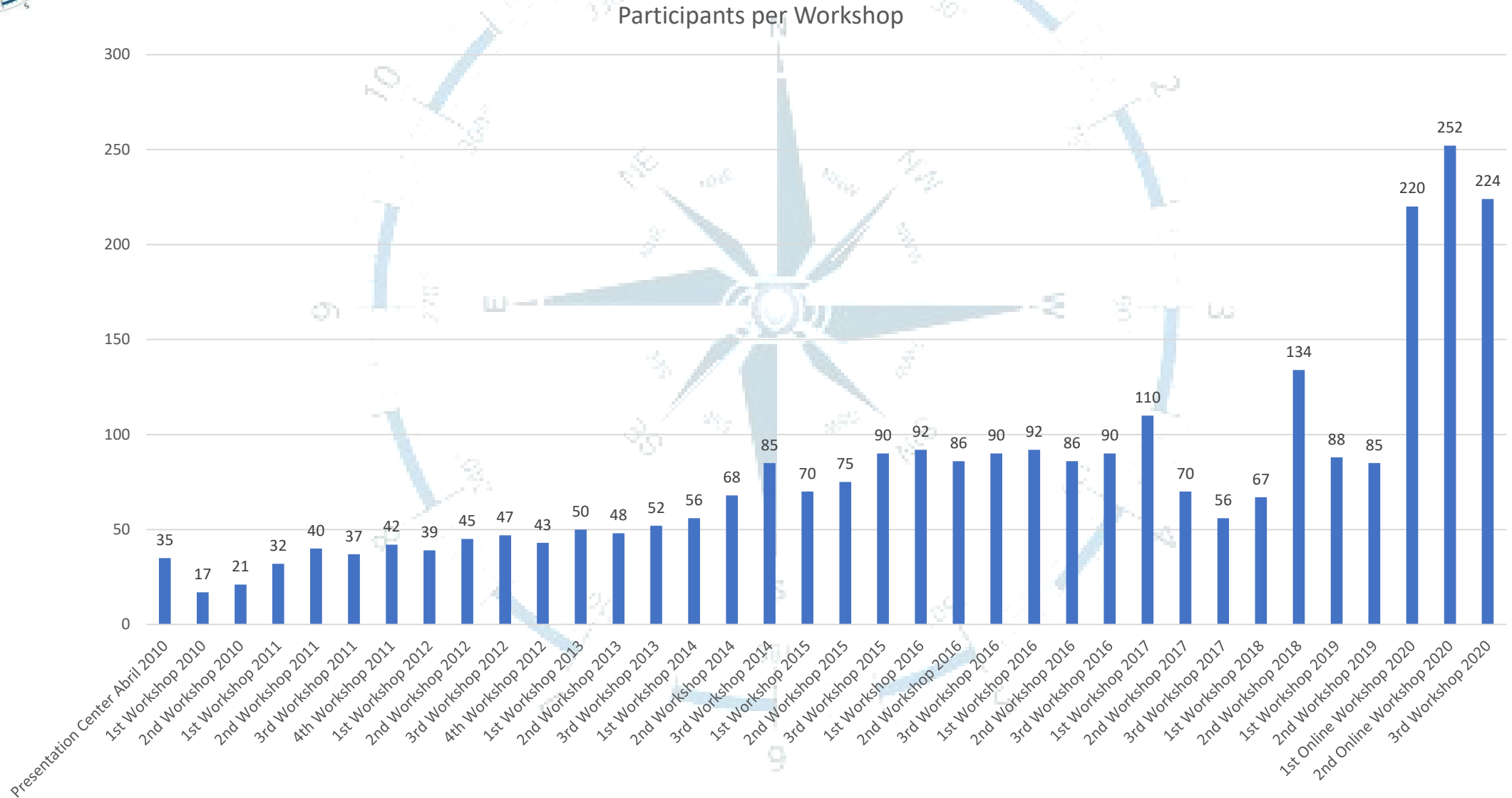
# Center for Governance and Transparency in Numbers

- **700+ certified Compliance Officers** (IAE/ECI joint LPEC Certification Program) from 10 countries
- **9 Compliance Surveys** (2010, 2011, 2013, 2014, 2015, 2016, 2018, 2019, and 2020)
- **3 Collective Actions**
- **20 published technical notes**, 3 case studies and more than 60 caselets, 3 books, book chapters and articles
- Active role in over **30 professional and academic conferences**
- Joint activities and collaboration with **20 + NGOs**, think tanks, and multilateral organizations in Argentina and abroad



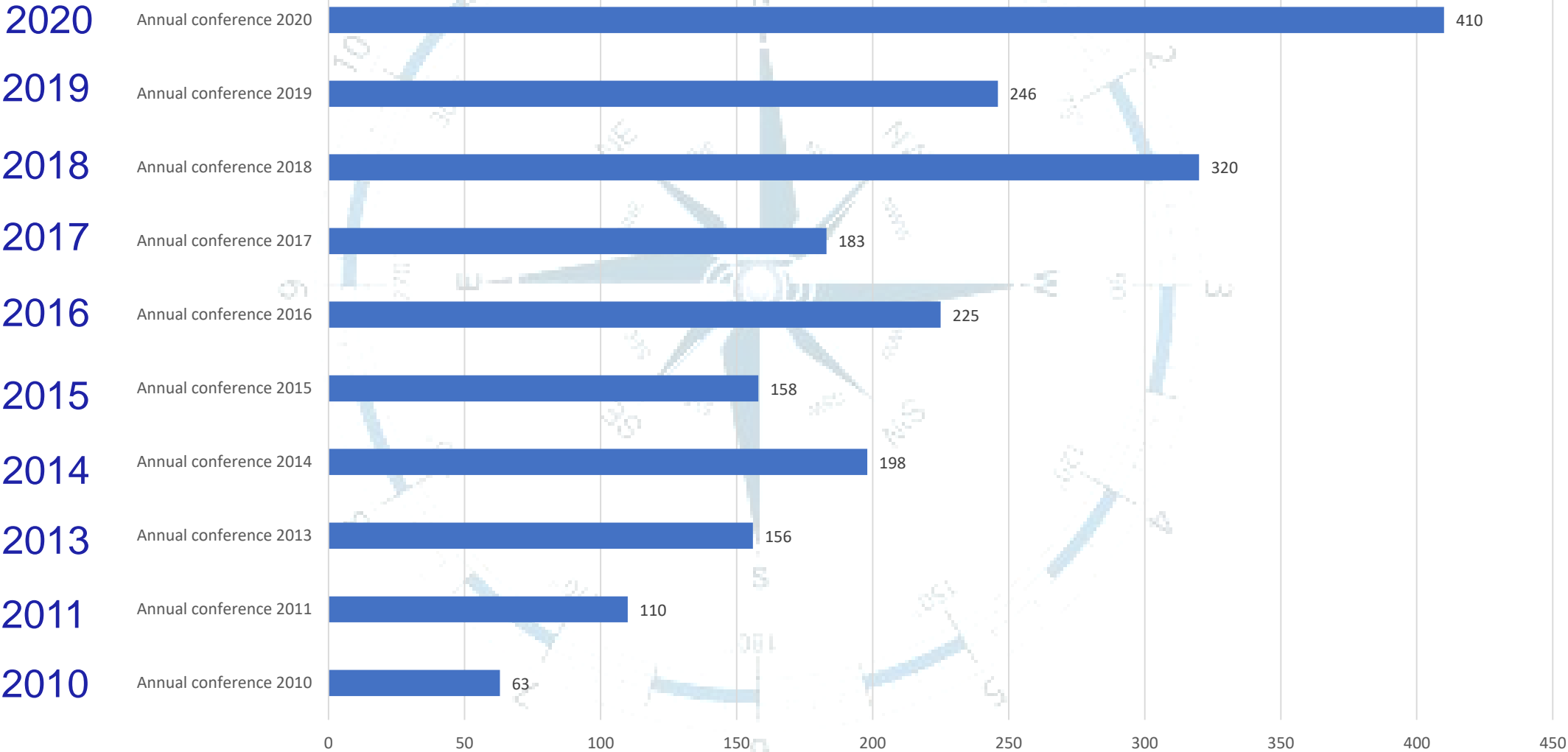


# Workshops | Participants per Workshop





# Annual Conferences | Participants





# Academic Committee

- **Manuel Garrido** (Former Argentine Congressman and Anti-Corruption prosecutor)
- **Javier Lozada** (Former General Secretary, Danone South Cone LatAm)
- **Claudia Maskin** (Health Care Compliance & Privacy Johnson & Johnson Southern Cone)
- **Pablo Olmedo** (CFO, Promedón)
- **Andreina Ostos** (Business Conduct Compliance Officer Tenaris)
- **Dalma Parisi** (Lead Compliance Officer for South America w/o Brazil en Siemens)
- **Raúl Saccani** (Partner, Forensic & Dispute Services, Deloitte Argentina)
- **Laura Tzal** (Compliance Coordinator- Total Austral)
- Center for Governance and Transparency: **Matthias Kleinhempel, Lorenzo Preve**

# Center for Governance and Transparency

## Contacts/social networks

**Coordination:** Nohexis García [ngarciacedeno@iae.edu.ar](mailto:ngarciacedeno@iae.edu.ar)

### YouTube

<https://www.youtube.com/channel/UCN8P7XI4IdCLzbeUa9RliOw>

### Web

<https://www.gobernabilidadytransparencia.com/>

### Twitter

[@transparenciaE](https://twitter.com/transparenciaE)

### Linkedin

<https://www.linkedin.com/company/gobernabilidadytransparencia/>

### Instagram

[@complianceiae](https://www.instagram.com/complianceiae)

