

# Center for Governance and Transparency



2017 - 2018



**SIEMENS**



**Deloitte.**

*Johnson & Johnson* MEDICAL DEVICES COMPANIES

MONSANTO



**syngenta**



# Who we are



**Matthias Kleinhempel**



**Lorenzo Preve**



**Cecilia Colli**



**Nohexis García**



**Marcelo. D. H Iácona**



# Mission

1. Foster Governance and Compliance through **Research, Teaching and Support for Companies**
2. **Be a point of reference** for Governance and Compliance topics in Latin America, with special focus on Argentina



# Action Lines

## Research

- Governance and Compliance practices and trends in Argentina / LatAm

## Teaching

- Open programs at IAE Business School
- In-Company Programs
- Joint international programs
- Universities/Business Schools abroad

## Support for Companies

- Networking platform for CEOs, CFOs, COs, and CROs
- Collective Actions/Integrity Pacts
- Design and implementation of Governance and Compliance Programs
- Gap analysis for Compliance Programs
- In-house Compliance training and education



# Activities - 2017

- 3 workshops (E&C Risk Mapping, International Trends in financial Crime Prevention in the real economy, Blockchain & Compliance)
- Annual conference (keynote by Prof. Filippo Santoni de Sio on Technology, AI, and Blockchain: Revolution for Risk & Compliance )
- Co-author Book on Compliance in Spanish (to be published January 2018)
- 5th edition joint program with IESE Business School (Compliance for the C- Suite).
- 5th edition Compliance Certification Program with ECI
- 1st edition Program AML & Financial Crime
- 1st edition Program Update for Certified Compliance Officers with ECI
- Teaching in NY, Miami, Quito and several cities in Argentina
- Speaker at local and international conferences
- Newsletter, short publications
- Survey on ethical practices in companies in LatAm
- Several articles and technical notes on Compliance



# Planned Activities - 2018

- 3 workshops
- Annual conference
- At least one new Collective Action
- Article/TN on new trends in Risk & Compliance
- 2 In Company programs
- 6th edition joint program with IESE Business School (October 22, 23, 24)
- 6th edition Compliance Certification Program with ECI (April 5, 6, 7)
- 2nd edition Program AML & Financial Crime (June 4, 5, 13, 14, 15)
- 2nd edition Program Update for Certified Compliance Officers with ECI (November 8)
- Teaching at IESE, IDE.
- Speaker at local and international conferences
- Newsletter and short publications
- Chapter for the book “Anticorruption in reaserch practice and in the classroom” Information Age Publishing.



# Center for Governance and Transparency in Numbers

- Center's Compliance and Best Practices Network:  
**+900 members** from more than **200 companies**
- **+ 2000 newsletter subscribers** (Compliance Officers, CEOs, CFOs, directors, etc.); + 170 editions
- **+2500** people attended **27 workshops** and 7 annual conferences
- **124 practitioners**, professors and experts have joined our activities as presenters, panelists, and keynote speakers
- **12 focused open enrolment programs** and sessions on compliance at Business Schools (Miami, New York, Madrid, Berlin, Quito)



# Center for Governance and Transparency in Numbers

- **181 certified Compliance Officers** (IAE/ECI joint LPEC Certification Program) from 10 countries
- **5 Compliance Surveys** (2010, 2011, 2013/4, 2015 and 2017 +400 surveyed companies)
- **3 Collective Actions**
- **16 published technical notes**, 2 case studies and more than 50 caselets, 2 books, 2 book chapters and numerous articles
- Active role in over **30 professional and academic conferences**
- Joint activities and collaboration with **+20 NGOs**, think tanks, and multilateral organizations in Argentina and abroad



# Workshops | Participants per year





# Annual Conferences | Participants

2017

Annual Conference 2017

183

2016

Annual Conference 2016

225

2015

Annual Conference 2015

158

2014

Annual Conference 2014

198

2013

Annual Conference 2013

156

2011

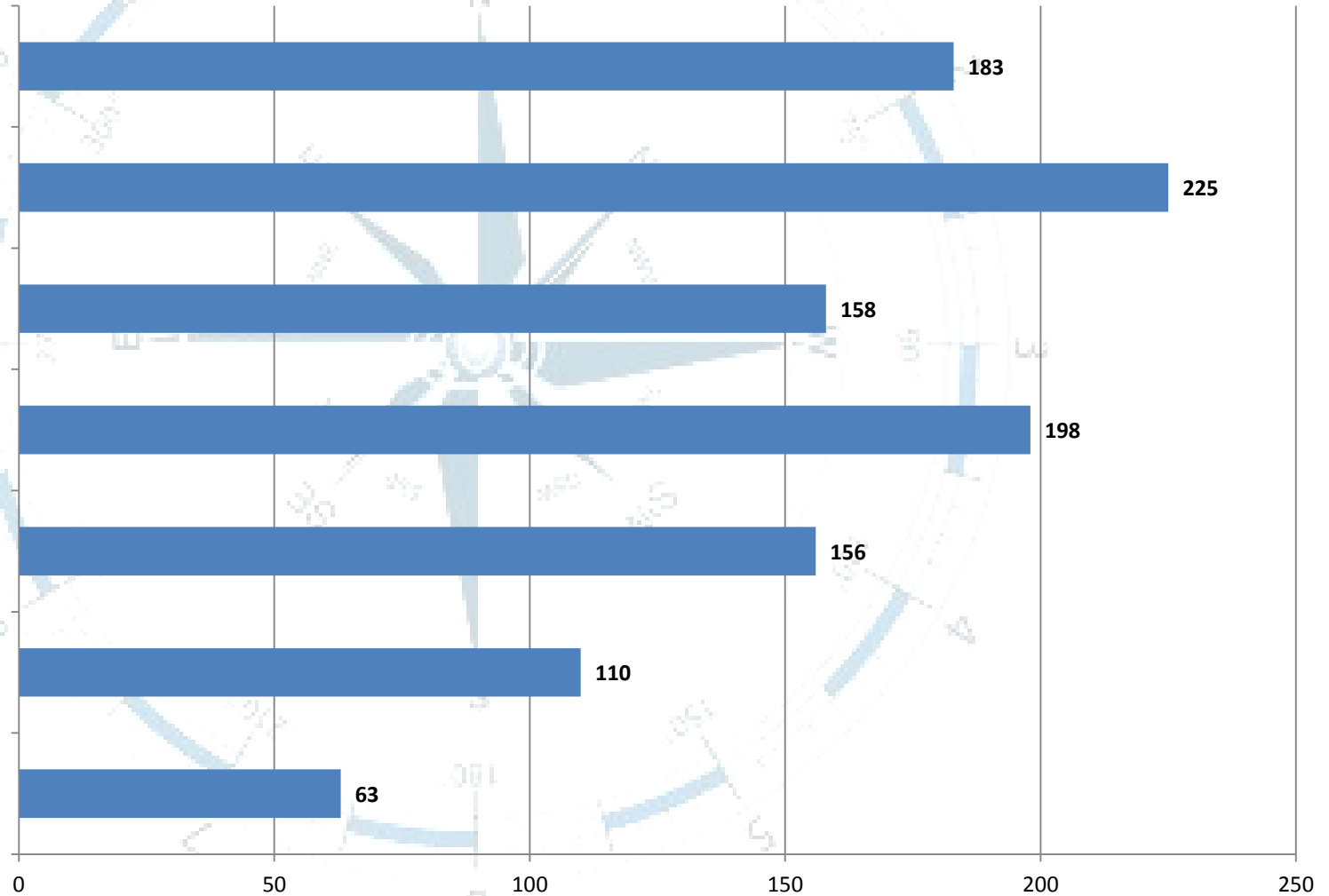
Annual Conference 2011

110

2010

Annual Conference 2010

63





# Academic Committee

- **Mariana Eppenstein** (Director Legal & Compliance, Monsanto Argentina)
- **Manuel Garrido** (former Argentine Congressman and Anti-Corruption prosecutor)
- **Javier Lozada** (General Secretary, Danone South Cone LatAm)
- **Claudia Maskin** (Compliance Officer, Johnson & Johnson Healthcare Southern Cone)
- **Laura Tzal** (Compliance Coordinator- Total Austral)
- **Pablo Olmedo** (CFO, Promedón)
- **Dalma Parisi** (Compliance Officer, Siemens Argentina)
- **Raúl Saccani** (Partner, Forensic & Dispute Services, Deloitte Argentina)
- Center for Governance and Transparency: **Matthias Kleinhempel, Lorenzo Preve**

# Center for Governance and Transparency

## Contacts:



### Coordination

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